

IFW

Docket No: 15436.90.1



~~In re~~ application of

Examiner: Unknown

Art Unit
2877

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believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

DATED this 28 day of July, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
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RBI:blh

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Applicant: Steve Wang, et al.

Serial No.: 10/689,340

Att'y Docket No.: 15436.90.1

Filing Date: October 20, 2003

Group: 2877

LASER BENDING FABRICATION OF OPTICAL INTERLEAVERS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
____ 1	6,301,046	10/09/2001	Tai, et al.
____ 2	6,154,952	12/05/2000	Tangren
____ 3	6,268,951	07/31/2001	Chen, et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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NONE

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

____ 4	Vikas Dhurka; WDM System Level; April 2001; (Pages 3 and 14)
____ 5	Essex Corporation; Hyperfine WDM: Passive Optical Technology for Fiber Optic Communications Application Note; (Pages 1-2)
____ 6	T. Chiba, H. Arai, K. Ohira, H. Nonen, H. Okano, and H. Uetsuka; <u>Wavelength Splitters for DWDM Systems</u> ; Optoelectronic System Laboratory, Hitachi Cable, Ltd.; October 2001; (Pages 1-3) http://www.ieee.org/organizations/pubs/newsletters/leos/oct01/chiba.htm

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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For: LASER BENDING FABRICATION OF OPTICAL INTERLEAVERS

- _____ 7 Henri Rjabenbach; Report of the European Conference on Optical Communication (ECOC); European Conference on Optical Communication (ECOC); September 2001; (Page 17); http://www.ist-optimist.org/pdf/news/ECOC2001_report_Rajbenbach.pdf

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 15436.90.1	
Applicant(s): Steve Wang, et al.				
Application No. 10/689,340	Filing Date 10/20/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2877
Invention: LASER BENDING FABRICATION OF OPTICAL INTERLEAVERS				
I, <u> </u> , do hereby certify that the following correspondence:				
<div>Transmittal for Information Disclosure Statement (2 pgs; in triplicate); Information Disclosure Statement (2 pgs); PTO-1449 (2 pgs); Cited Art (7 Documents); Certificate of Express Mail Label No. US 533104466 US US (1 pg); and Postcard</div>				
(Identify type of correspondence)				
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>July 28, 2004</u> (Date)				
<div>Beth L. Haslam (Typed or Printed Name of Person Mailing Correspondence)</div> <div><u>Beth L. Haslam</u> (Signature of Person Mailing Correspondence)</div> <div>EV 533104466 US (Express Mail Mailing Label Number)</div>				
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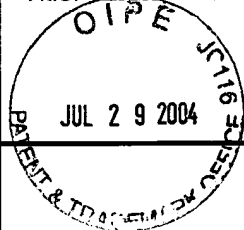
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.90.1

In Re Application Of: **Steve Wang, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/689,340	10/20/2003	Unknown	022913	2877	2896

Title: **LASRE BENDING FABRICATION OF OPTICAL INTERLEAVERS**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.90.1

In Re Application: Steve Wang, et al.

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10/689,340	10/20/2003	Unknown	022913	2877	2896

LASRE BENDING FABRICATION OF OPTICAL INTERLEAVERS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
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*This certificate may only be used if paying by deposit account.

R. Burns Israel

Signature

Dated: July 28, 2004

R. BURNS ISRAELSEN
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CC: